

What is claimed is:

1. A method of clustering documents (or patterns) each having one or plural document (or pattern) segments in an input document (or pattern) set, based on a relation among them, comprising,

(a) obtaining a document (or pattern) frequency matrix for the set of input documents (or patterns), based on occurrence frequencies of terms appearing in each document (or pattern);

(b) selecting a seed document (or pattern) from remaining documents (or patterns) that are not included in any cluster existing at that moment and constructing a current cluster of the initial state using the seed document (or pattern);

(c) obtaining the document (or pattern) commonality to the current cluster for each document (or pattern) in the input document (or pattern) set by using information based on the document (or pattern) frequency matrix for the input document (or pattern) set, information based on the document (or pattern) frequency matrix for documents (or patterns) in the current cluster and information based on the common co-occurrence matrix of the current cluster, and making documents (or patterns) having the document commonality higher than a threshold belong

temporarily to the current cluster;

(d) repeating step (c) until the number of documents (or patterns) temporarily belonging to the current cluster becomes the same as that in the previous repetition;

(e) repeating steps (b) through (d) until a given convergence condition is satisfied; and

(f) deciding, on the basis of the document (or pattern) commonality of each document (or pattern) to each cluster, a cluster to which each document (or pattern) belongs.

2. A clustering method according to claim 1, wherein step (a) further includes,

(a-1) generating a document (or pattern) segment vector for each of said document (or pattern) segments based on occurrence frequencies of terms appearing in each document (or pattern) segment;

(a-2) obtaining a co-occurrence matrix for each document (or pattern) in the input document (or pattern) set from the document (or pattern) segment vectors; and

(a-3) obtaining a document (or pattern) frequency matrix from the co-occurrence matrix for each document.

3. A clustering method according to claim 1, wherein step (b) further includes,

(b-1) constructing a common co-occurrence matrix of remaining documents (or patterns) that are not included in any cluster existing at that moment; and

(b-2) obtaining a document commonality to the set of the remaining document (or pattern) set for each document (or pattern) in the remaining document (or pattern) set by using the common co-occurrence matrix of the remaining documents (or patterns), and extracting the document (or pattern) having the highest document (or pattern) commonality, and constructing a current cluster of the initial state by making a document (or pattern) set including the seed document (or pattern) and the neighbor documents (or patterns) similar to the seed document (or pattern).

4. A clustering method according to claim 1, wherein step (c) further includes,

(c-1) constructing a common co-occurrence matrix of the current cluster and a document (or pattern) frequency matrix of the current cluster;

(c-2) obtaining the distinctiveness of each term and each term pair to the current cluster by comparing the document (or pattern) frequency matrix of the input document (or pattern) set and the document (or pattern) frequency matrix of the current cluster; and

(c-3) obtaining document (or pattern) commonalities to the current cluster for each document (or pattern) in the input document (or pattern) set by using the common co-occurrence matrix of the current cluster and weights of each term and term pair obtained from their distinctiveness, and making a document (or pattern) having the document (or pattern) commonality higher than a threshold belong temporarily to the current cluster.

5. A clustering method according to claim 1, further including,

repeating step (e) until the number of documents (or patterns) whose document (or pattern) commonalities to any current clusters are less than a threshold becomes 0, or the number is less than a threshold and is equal to that of the previous repetition.

6. A clustering method according to claim 1, wherein step (f) further includes, checking existence of a redundant cluster, and removing, when the redundant cluster exists, the redundant cluster and again deciding the cluster to which each document belongs.

7. A method according to claim 1 wherein the co-

occurrence matrix  $S^r$  of the document (or pattern)  $D_r$  is determined in accordance with:

$$S^r = \sum_{y=1}^{Y_r} d_{ry} d_{ry}^T \quad (1)$$

where:  $M$  equals the number of sorts of the occurring terms,  $D_r$  equals the  $r$ th document (or pattern) in a document (or pattern) set  $D$  consisting of  $R$  documents (or patterns),  $Y_r$  equals the number of document (or pattern) segments in document (or pattern)  $D_r$ , and  $d_{ry} = (d_{ry1}, \dots, d_{ryM})^T$  equals the  $y$ th document (or pattern) segment vector of document (or pattern)  $D_r$ , and  $T$  represents transposition of a vector.

8. A method according to claim 1, wherein each component of the document (or pattern) frequency matrix of a document (or pattern) set  $D$  is the number of documents (or patterns) in which a corresponding component of the co-occurrence matrix of each document (or pattern) in the document (or pattern) set  $D$  does not take a value of zero.

9. A method according to claim 1 further comprising determining the common co-occurrence matrix of a document (or pattern) set  $D$  from a matrix  $T^A$  on the basis of a matrix  $T$  whose  $mn$  component is determined by the matrix  $T^A$  having an  $mn$  component determined by

$$T_{mn}^A = T_{mn}, \quad U_{mn} > A,$$

$$T_{mn}^A = 0 \quad \text{otherwise,}$$

where  $U_{mn}$  represents the  $mn$  component of the document (or pattern) frequency matrix of the document (or pattern) set  $D$ .

10. A method according to claim 1 further comprising determining the common co-occurrence matrix of a document (or pattern) set  $D$  from a matrix  $Q^A$  on the basis of a matrix  $T$  whose  $mn$  component is determined by

$$T_{mn} = \prod_{r=1}^R S_{mn}^r$$

$$S_{mn}^r > 0$$

the matrix  $Q^A$  having an  $mn$  component determined by

$$Q_{mn}^A = \log(T_{mn}^A) \quad T_{mn}^A > 1,$$

$$Q_{mn}^A = 0 \quad \text{otherwise.}$$

11. A method according to claim 10 wherein  $z_{mm}$  and  $z_{mn}$  are respectively weights for a term (or object feature)  $m$  and a term (or object feature) pair  $m,n$ , a document (or pattern) commonality of document (or pattern)  $P$  having a co-occurrence matrix  $S^P$  with respect to the document (or pattern) set  $D$  given by

$$com_l(D, P; Q^A) = \frac{\sum_{m=1}^M z_{mm} Q_{mm}^A S_{mm}^P}{\sqrt{\sum_{m=1}^M z_{mm} (Q_{mm}^A)^2} \sqrt{\sum_{m=1}^M z_{mm} (S_{mm}^P)^2}} \quad (3)$$

or

$$com_q(D, P; Q^A) = \frac{\sum_{m=1}^M \sum_{n=1}^M z_{mn} Q^A_{mn} S^P_{mn}}{\sqrt{\sum_{m=1}^M \sum_{n=1}^M z_{mn} (Q^A_{mn})^2} \sqrt{\sum_{m=1}^M \sum_{n=1}^M z_{mn} (S^P_{mn})^2}} \quad (4).$$

12. A method according to claim 9 wherein  $z_{mm}$  and  $z_{mn}$  are respectively weights for a term (or object feature)  $m$  and a term (or object feature) pair  $m, n$ , a document (or pattern) commonality of document (or pattern)  $P$  having a co-occurrence matrix  $S^P$  with respect to the document (or pattern) set  $D$  given by

$$com_i(D, P; T^A) = \frac{\sum_{m=1}^M z_{mm} T^A_{mm} S^P_{mm}}{\sqrt{\sum_{m=1}^M z_{mm} (T^A_{mm})^2} \sqrt{\sum_{m=1}^M z_{mm} (S^P_{mm})^2}} \quad (3)$$

or

$$com_q(D, P; T^A) = \frac{\sum_{m=1}^M \sum_{n=1}^M z_{mn} T^A_{mn} S^P_{mn}}{\sqrt{\sum_{m=1}^M \sum_{n=1}^M z_{mn} (T^A_{mn})^2} \sqrt{\sum_{m=1}^M \sum_{n=1}^M z_{mn} (S^P_{mn})^2}} \quad (4).$$

13. A method according to claim 1, wherein extraction of the seed document (or pattern) of the current cluster and construction of the current cluster of the initial state includes:

(a) obtaining a document (or pattern) commonality to the remaining document (or pattern) set for each document (or pattern) in the remaining document (or pattern) set by using the said common co-occurrence matrix of the remaining documents (or patterns),

(b) extracting, as candidates of the seed of the

current cluster, a specific number of documents (or patterns) whose document (or pattern) commonalities obtained by step (a) are large;

(c) obtaining similarities of the respective candidates of the seed of the cluster to all documents (or patterns) in the input document (or pattern) set or in the remaining document (or pattern) set, and obtaining documents (or patterns) having similarities larger than a threshold as neighbor documents (or patterns) of the candidate; and

(d) selecting the candidate whose number of the neighbor documents (or patterns) is the largest among the candidates as the seed of the current cluster and making its neighbor documents (or patterns) the current cluster of the initial state.

14. A method according to claim 1 further including detecting the distinctiveness of each term (or object feature) and each term pair with respect to the current cluster and detecting their weights, the distinctiveness and weight detecting steps including

(a) obtaining a ratio of each component of a document (or pattern) frequency matrix obtained from the input document (or pattern) set to a corresponding component of a document (or pattern) frequency matrix



obtained from the current cluster as a document (or pattern) frequency ratio of each term (or feature) or each term (or feature) pair;

(b) selecting a specific number of terms (or features) or term (or feature) pairs having the smallest document (or pattern) frequency ratios among a specific number of terms (or features) or term (or feature) pairs having the highest document (or pattern) frequencies, and obtaining the average of the document (or pattern) frequency ratios of the selected terms (or features) or term (or feature) pairs as the average document (or pattern) frequency ratio;

(c) dividing the average document (or pattern) frequency ratio by the document (or pattern) frequency ratio of each term (or feature) or each term (or feature) pair as a measure of the distinctiveness of each term (or feature) or each term (or feature) pair; and

(d) determining the weight of each term (or feature) or each term (or feature) pair from a function having the distinctiveness measure as a variable.

15. A method according to claim 1 further including eliminating terms (or features) and term (or feature) pairs having document (or pattern) frequencies higher than a threshold.

16. A method according to claim 1 wherein clustering is performed recursively by letting the document (or pattern) set included in a cluster be the input document (or pattern) set.

17. A computer program product for causing a computer to perform the method of claim 1.

18. A computer program product for causing a computer to perform the method of claim 2.

19. A computer program product for causing a computer to perform the method of claim 3.

20. A computer program product for causing a computer to perform the method of claim 4.

21. A computer program product for causing a computer to perform the method of claim 5.

22. A computer program product for causing a computer to perform the method of claim 6.

23. A computer arranged to perform the method of

claim 1.

24. A computer arranged to perform the method of claim 2.

25. A computer arranged to perform the method of claim 3.

26. A computer arranged to perform the method of claim 4.

27. A computer arranged to perform the method of claim 5.

28. A computer arranged to perform the method of claim 6.

29. A clustering apparatus for clustering documents (or patterns) each having one or plural document (or pattern) segments in an input document (or pattern) set based on the relation among them, the apparatus comprising:

(a) means for obtaining a document (or pattern) frequency matrix for the set of input documents (or patterns), based on occurrence frequencies of terms appearing in

each document (or pattern);

(b) means for selecting a seed document (or pattern) from remaining documents (or patterns) that are not included in any cluster existing at that moment and constructing a current cluster of the initial state using the seed document (or pattern);

(c) means for obtaining the document (or pattern) commonality to the current cluster for each document (or pattern) in the input document (or pattern) set using information based on the document (or pattern) frequency matrix for the input document (or pattern) set, information based on the document (or pattern) frequency matrix for documents (or patterns) in the current cluster and information based on the common co-occurrence matrix of the current cluster and means for making documents (or patterns) having the document (or pattern) commonality higher than a threshold belong temporarily to the current cluster;

(d) means for repeating the operations of means (c) until the number of documents (or patterns) temporarily belonging to the current cluster becomes the same as that in the previous repetition;

(e) means for repeating the operations of means (b) through (d) until given convergence conditions are satisfied; and

(f) means for deciding, on the basis of the document (or pattern) commonality of each document (or pattern) to each cluster, a cluster to which each document (or pattern) belongs.